

Analyses of 123 Peripheral Human Immune Cell Subsets: Defining Differences with Age and between Healthy Donors and Cancer Patients not Detected in Analysis of Standard Immune Cell Types

Original Research Article

Lauren M. Lepone^{1#}, Renee N. Donahue^{1#}, Italia Grenga¹, Simon Metenou¹, Jacob Richards¹, Christopher R. Heery¹, Ravi A. Madan², James L. Gulley² and Jeffrey Schlom^{1*}

¹ Laboratory of Tumor Immunology and Biology, Center for Cancer Research, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA

² Genitourinary Malignancies Branch, Center for Cancer Research, National Cancer Institute, National Institutes of Health, Bethesda, MD, USA

[#]These authors contributed equally to this work

*Corresponding author(s) E-mail: js141c@nih.gov

Received 09 October 2015; Accepted 29 January 2016

DOI: 10.5772/62322

© 2016 Author(s). Licensee InTech. This is an open access article distributed under the terms of the Creative Commons Attribution License (<http://creativecommons.org/licenses/by/3.0>), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Abstract

Recent advances in human immunology have led to the identification of novel immune cell subsets and the biological function of many of these subsets has now been identified. The recent US Food and Drug Administration approval of several immunotherapeutics for the treatment of a variety of cancer types and the results of ongoing immunotherapy clinical studies requires a more thorough interrogation of the immune system. We report here the use of flow cytometry-based analyses to identify 123 immune cell subsets of peripheral blood mononuclear cells. The use of these panels defines multiple differences in younger (< 40 years) vs. older (≥ 40 years) individuals and between aged-matched apparently healthy individuals and metastatic cancer patients, aspects not seen in the analysis of the following standard immune cell types: CD8, CD4, natural killer, natural killer-T, regulatory T, myeloid derived

suppressor cells, conventional dendritic cells (DCs), plasmacytoid DCs and B cells. The use of these panels identifying 123 immune cell subsets may aid in the identification of patients who may benefit from immunotherapy, either prior to therapy or early in the immunotherapeutic regimen, for the treatment of cancer or other chronic or infectious diseases.

Keywords Peripheral Blood Mononuclear Cells, Multicolour Flow Cytometry, Cancer, Age

1. Introduction

With the recent US Food and Drug Administration approvals of immunotherapeutics such as the checkpoint inhibitor anti-cytotoxic T lymphocyte-associated protein-4

(CTLA-4) and anti-programmed cell death-1 (PD-1)/programmed cell death ligand-1 (PD-L1) monoclonal antibodies (MAbs), and the sipuleucel-T prostate cancer vaccine, as well as results emerging from ongoing clinical studies with other immunotherapeutics, immunotherapy is emerging as a modality for many cancer types and stages. It has long been believed that an individual's immune system can play a role in both the development and control of cancer. It is known that the tumour itself can produce a spectrum of molecules such as immunomodulating cytokines, which can alter the patient's immune system. [1] Moreover, the incidence rates for many cancers increase with age and prior studies have shown that the immune system in older individuals (usually ≥ 65 years) differs from that of younger individuals. [2, 3]

Most prior studies have evaluated changes in an individual's immune system by quantifying so-called "standard parental immune cell types" in the periphery such as CD4, CD8, regulatory T cells (Tregs), B cells, natural killer (NK) cells, NK-T cells, conventional dendritic cells (cDCs) and plasmacytoid DCs (pDCs), and myeloid derived suppressor cells (MDSCs). Recent advances in cellular immunology have identified numerous subsets within each of the above immune cell types via the identification of new markers on immune cells and the use of polychromatic flow cytometry; prior studies have also identified the function(s) of many of these immune cell subsets. [4, 5]

In the studies reported here, we employed MAbs directed against immune cell markers and multi-laser flow cytometry analyses to identify 123 unique immune cell subsets in the peripheral blood of individuals. Since the incidence of cancer rises sharply at the age of 40 and more cancers are being seen recently in younger individuals [6, 7], we have investigated whether changes exist in peripheral immune cell subsets between apparently healthy individuals < 40 and those ≥ 40 years of age; several immune cell subsets were found to be statistically different between these two age groups. We also found that numerous peripheral immune cell subsets can be differentiated between age-matched healthy individuals and patients with a range of advanced human carcinomas. Moreover, we have identified differences among immune cell subsets expressing molecules on their surface that are the targets of existing checkpoint inhibitor therapies such as CTLA-4, PD-1 and PD-L1, as well as other potential targets for which immunotherapies are being developed. To further investigate the differences in peripheral immune cell subsets between healthy donors and advanced cancer patients, microarray analysis was performed on an additional small cohort of healthy donors and cancer patients. While gene pathway analysis demonstrated the regulation of multiple pathways previously associated with cancer, several genes implicated in the regulation of immune cells, including MDSCs and B cells, were also identified as differentially expressed between advanced cancer patients and healthy donors, corroborating the flow cytometry analysis.

2. Materials and Methods

2.1 Healthy donors and cancer patients

The flow cytometry analysis included 11 healthy donors under the age of 40, 15 healthy donors over the age of 40 and 30 patients with a variety of metastatic solid tumours over the age of 40. The median age of the healthy donor group < 40 was 26 (range 18-31), with six males and five females. The median age of the healthy donor group > 40 was 56 (range 46-78), with 12 males and three females. Peripheral blood mononuclear cells (PBMCs) from the healthy donors were obtained from the NIH Clinical Center Blood Bank (NCT00001846), as previously described. [8] The median age of the cancer patients was 64 (range 42-77), with 17 males and 13 females. The cancer patients all had metastatic solid tumours and were enrolled in a Phase I clinical trial (NCT01772004), with PBMCs that were examined in this study obtained prior to the initiation of therapy. The National Cancer Institute Institutional Review Board approved the trial procedures and informed consent was obtained in accordance with the Declaration of Helsinki. Patients had 13 different types of cancer including adrenocortical (n=2), breast (n=3), chordoma (n=1), gastrointestinal (GI) (n=6), lung (n=1), medullary thyroid (n=1), mesothelioma (n=3), neuroendocrine (n=1), ovarian (n=1), pancreatic (n=6), prostate (n=1), renal cell (n=3) and spindle cell (n=1) cancer, and the median number of prior anti-cancer therapies was 3 (range 1-15).

In an additional cohort of samples assessed by microarray, PBMCs were obtained from five healthy donors (median age: 54, three male, two female) from the NIH Clinical Center Blood Bank, as well as four patients with advanced GI cancer (median age: 56.5, two male, two female) enrolled in a Phase I study at the NCI (NCT00088413) [9]; PBMCs used in this study were isolated prior to treatment.

2.2 Antibodies and flow cytometry

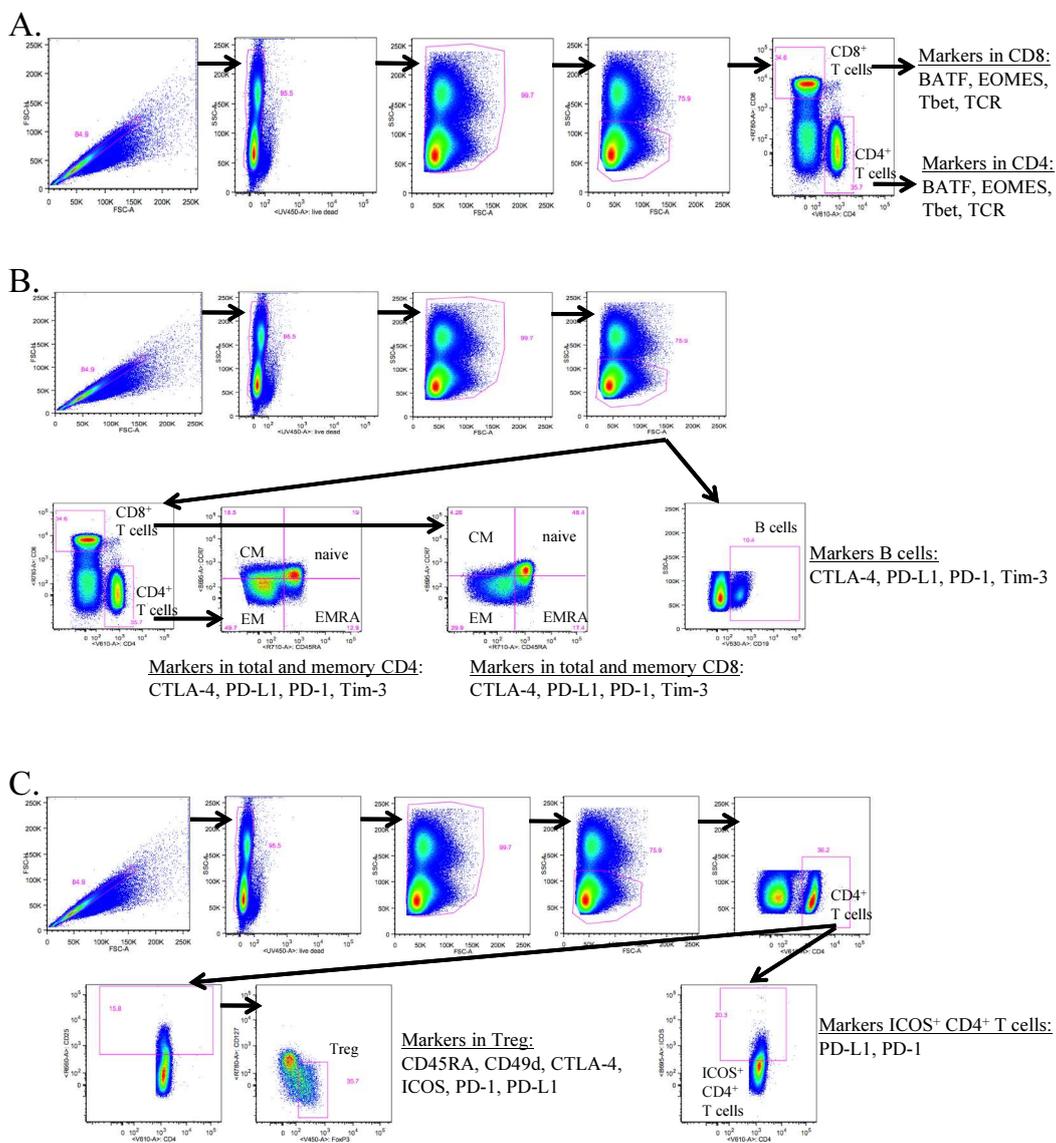
Multicolour flow cytometry was performed on frozen PBMCs as previously described. [10] One vial of PBMCs was thawed per cancer patient or healthy donor. Cells were counted and plated in five different wells per subject in a 96-well plate with one million PBMCs per well. Each of the five wells was used to stain a different panel with a maximum of 11 colours per panel in each well. Staining was performed using five panels (Supplemental Table 1) to identify markers involved in PD-1 signalling (panel 1) and in subsets of CD4⁺ T cells, CD8⁺ T cells and B cells (panel 2), Tregs (panel 3), NKs, NK-T, cDCs and pDCs (panel 4), and MDSCs (panel 5). Using the outlined gating strategy (Figure 1), these five staining panels with up to 11 antibodies per panel identified a total of 123 peripheral immune cell subsets (Supplemental Table 2), which included nine parental immune cell types and 114 subsets related to maturation and function within the parental types. Optimal amounts of antibodies for staining were determined by

titration experiments. Briefly, one million PBMCs per test were incubated for 15 minutes at 4°C with 2 µL of human TruStain FcX (Biolegend, San Diego, CA) and Live Dead Fixable Stain Blue (Invitrogen, Waltham, MA). Surface antibodies were added for 30 minutes at 4° C. Cells were then washed, fixed and permeabilized using the Intracellular Fixation and Permeabilization Buffer Set (eBioscience, San Diego, CA) and stained with intracellular antibodies for 30 minutes at room temperature. Samples were acquired on a BD LSRII flow cytometer (BD Biosciences, San Jose, CA) equipped with four lasers (UV, violet, blue and red; configuration and filter sets of LSR II listed in Supplemental Figure 1) and analysed using FlowJo V9.7 for Macintosh (Treestar, Ashland, OR). The gating strategy identified 123 peripheral immune cell subsets from the five staining panels that each contained up to 11 markers per panel (Figure 1), with non-viable cells excluded and

negative gates set based on fluorescence minus one controls. All values were reported as a percentage (%) of PBMCs in order to help eliminate the bias that could occur in the smaller populations with fluctuations in leukocyte subpopulations. [11]

2.3 Microarray

Total RNA was isolated from PBMCs using the Qiagen RNeasy Plus minikit (Valencia, CA) according to the manufacturer’s instructions and quality-checked on an Agilent Bioanalyzer (Santa Clara, CA). All samples used for microarray analysis had an RNA integrity number >9; 100 ng of RNA was reverse transcribed and amplified using an Ambion WT expression kit (Austin, TX), following the manufacturer’s suggested protocols. Sense strand cDNA was fragmented and labelled using an Affymetrix WT terminal labelling kit (Santa Clara, CA). Four replicates of



Continued on page 4.

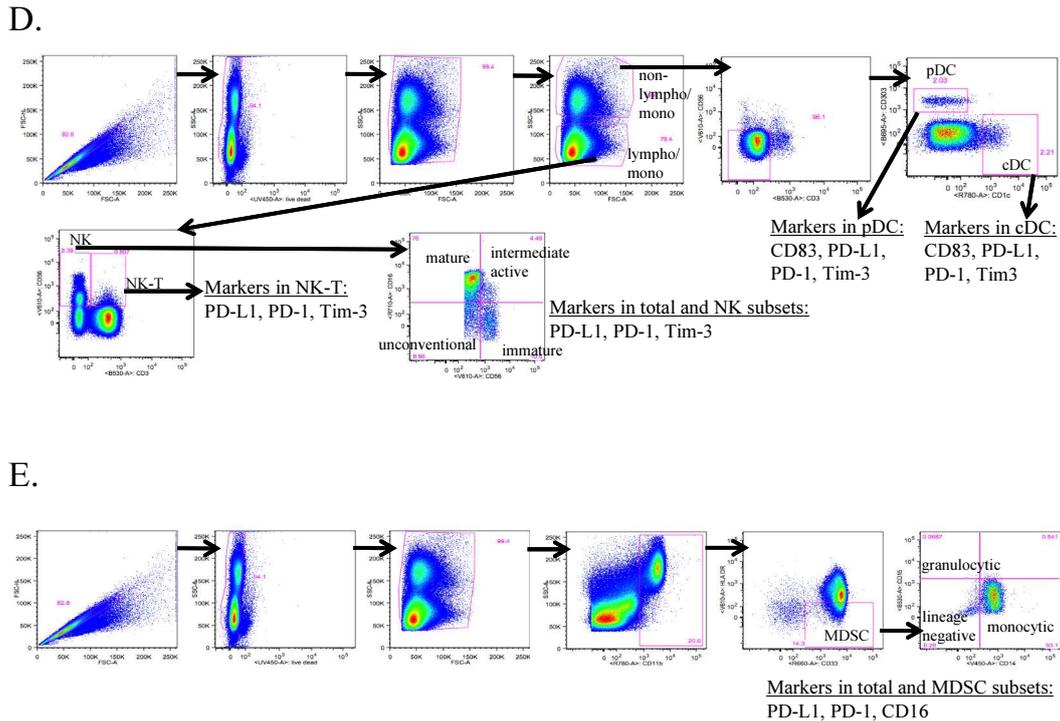


Figure 1. Gating strategy of five staining panels to identify 123 peripheral immune cell subsets by flow cytometry. One vial of frozen peripheral blood mononuclear cells (PBMCs) per subject was thawed and then stained using 30 unique markers in five separate immune flow cytometry panels to identify a total of 123 peripheral immune cell subsets. Each panel contained up to 11 markers. Panel 1 (A) identified markers in CD8⁺ and CD4⁺ T cells involved in PD-1 signalling. Panel 2 (B) identified the parental cell types of CD4⁺ and CD8⁺ T cells and B cells, as well as markers involved in function and maturation. Panel 3 (C) identified ICOS⁺ CD4⁺ T cells and the parental cell type of Tregs, as well as markers involved in function. Panel 4 (D) identified the parental cell types of NK, NK-T, cDC and pDC, as well as markers involved in maturation and function. Panel 5 (E) identified the parental cell type of MDSC, as well as markers involved in maturation and function. Samples were collected on an LSR II flow cytometer equipped with UV, red, blue and violet lasers, and analysed using FlowJo, with gating set using fluorescence minus one controls.

each group were hybridized to Affymetrix human Gene ST 2.0 GeneChip in an Affymetrix hybridization oven at 45°C, at 60rpm for 16 hours. Washing and staining were performed on an Affymetrix Fluidics Station 450 and scanned on an Affymetrix GeneChip Scanner 3000. Data were collected using Affymetrix AGCC software.

2.4 Statistical analyses

Statistical analyses for flow cytometry data were performed using GraphPad Prism 6 (GraphPad Software, La Jolla, CA). All p-values were calculated using the Mann-Whitney test. In view of the large number of tests performed, p-values were adjusted using Holm's method (step-down Bonferroni) to account for the increased probability of Type I errors (false positive) that occur when multiple outcome measures are assessed. [12] Adjustment was made for the number of subsets with a frequency above 0.01% of PBMCs (n=9 for standard subsets, n=29 for subsets in CD4⁺ T cells, n=25 for CD8⁺ T cells, n=5 for Tregs, n=14 for NK cells, n=3 for NK-T cells, n=4 for B cells, n=2 for cDCs, n=3 for pDCs and n=15 for MDSCs). Subsets with a potentially biologically relevant change were defined as subsets with an adjusted p<0.05, the median of groups showing a > 50% difference, and a frequency > 0.01% of PBMCs.

Statistical and clustering analysis for the microarray experiment was performed with Partek Genomics Suite

software (St. Louis, MO) and employing a RMA normalization algorithm. Differentially expressed genes were identified via ANOVA analysis. Genes that were up- or down-regulated more than 1.5-fold and with a p<0.05 were considered significant. Significant genes were analysed for the enrichment for pathways using Ingenuity Pathway Analysis software (Redwood City, CA).

3. Results

3.1 Differences in peripheral immune cell subsets with age in healthy donors

Using multiparameter flow cytometry, a total of 123 peripheral immune cell subsets were examined, which included the nine standard parental immune cell types – CD4⁺ and CD8⁺ T cells, Tregs, B cells, NK and NK-T cells, cDCs, pDCs and MDSCs – and 114 subsets of these cell types relating to maturation and function (Table 1). As the risk of cancer rises at age 40 [6, 7], donors were separated into younger and older groups, using this age as a cut-off. PBMCs were assayed from 11 healthy donors under the age of 40 and 15 healthy donors over the age of 40 (Figure 2A). Compared to the younger group, the older group had a significantly lower absolute lymphocyte count (ALC) (p=0.0032, Figure 2B). No statistical differences were

1. **CD4⁺ T cells:** Helper T lymphocytes (32 subsets)
2. **CD8⁺ T cells:** Cytotoxic T lymphocytes (29 subsets)
 - **Markers of PD-1 pathway and T cell activation (in CD4 and CD8):**
 - **EOMES:** activation
 - **TCR:** activation
 - **Tbet:** activation
 - **BATF:** activation/exhaustion
 - **Maturation status of T cells (in CD4 and CD8):**
 - **Naïve:** CD45RA⁺ CCR7⁺
 - **Central Memory (CM):** CD45RA⁻ CCR7⁺
 - **Effector Memory (EM):** CD45RA⁻ CCR7⁻
 - **Terminal (EMRA):** CD45RA⁺ CCR7⁻
 - **T cell markers (in CD4 and CD8):**
 - **CTLA-4:** inhibition
 - **PD-1:** activation/inhibition
 - **PD-L1:** activation/cross-inhibition
 - **Tim-3:** inhibition
 - **ICOS:** activation (only on CD4)
3. **Tregs:** Regulatory T lymphocytes (CD4⁺ CD25⁺ FoxP3⁺ CD127⁻) (7 subsets)
 - **CD45RA:** Tregs highly expandable *in vitro*
 - **CTLA-4:** Treg suppression
 - **CD49d:** suppressive Tregs
 - **ICOS:** Treg suppression
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition
4. **B cells:** CD19⁺ (5 subsets)
 - **CTLA-4:** inhibition
 - **Tim-3:** inhibition
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition
5. **NK:** Natural killer cells (CD56⁺ CD3⁻) (20 subsets)
 - **CD16⁺ CD56^{dim}:** Mature, lytic
 - **CD16⁺ CD56^{br}:** Functional intermediate, lytic and cytokine production
 - **CD16⁻ CD56^{br}:** Immature, cytokine production, abundant in placenta
 - **CD16⁻ CD56^{dim}:** non-lytic, non-cytokine production
 - **Tim-3:** activation
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition
6. **NK-T:** CD56⁺ CD3⁺ (4 subsets)
 - **Tim-3:** activation
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition
7. **cDCs:** conventional dendritic cells (DCs) (CD3⁻CD56⁻CD1c⁺CD303⁻) (5 subsets)
8. **pDCs:** plasmacytoid DCs (CD3⁻CD56⁺CD1c⁻CD303⁺) (5 subsets)
 - **Markers of DC activation**
 - **CD83:** activation
 - **Tim-3:** inhibition
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition
9. **MDSCs:** Myeloid derived suppressor cells (CD11b⁺ HLA-DR^{low/-} CD33⁺) (16 subsets)
 - **CD14:** common myeloid marker
 - **CD15:** granulocyte marker
 - **CD16:** immature MDSCs
 - **PD-1:** activation/inhibition
 - **PD-L1:** cross-inhibition

Frozen PBMCs were thawed then stained using 30 unique markers in 5 immune flow cytometry panels to identify a total of 123 peripheral immune cell subsets. Samples were collected on an LSR II flow cytometer equipped with UV, red, blue, and violet lasers, and analyzed using FlowJo with gating set using fluorescence minus one controls. Nine standard immune cell types as well as 114 additional subsets relating to maturation and function were compared between healthy donors under and over the age of 40, and between patients with metastatic cancer and age-matched healthy donors.

 BATF, basic leucine zipper transcription factor ATF-like; CTLA-4, cytotoxic T lymphocyte-associated protein-4; EOMES, eomesodermin; FoxP3, forkhead box P3; HLA, human leukocyte antigen; ICOS, inducible T cell costimulator; PBMCs, peripheral blood mononuclear cells; PD-1, programmed cell death-1; PD-L1, programmed cell death ligand-1; Tbet, T box expressed in T cells; TCR, T cell receptor; Tim-3, T cell immunoglobulin and mucin domain-3.

Table 1. Flow cytometry analysis of parental immune cell types in PBMCs using 30 unique markers to identify 123 subsets

evident when subsets were examined by age decade within the groups or by gender (data not shown).

Differences in peripheral immune cell subsets were identified as significant and relevant if the adjusted Holm p-value was < 0.05, if medians of the group were at least 50% different and if the subset had a frequency of above 0.01% of PBMCs. Using these criteria, of the nine standard immune cell types, seven were unchanged and two cell types (CD8⁺ T cells, NK cells) were significantly changed with age (Table 2). Healthy donors over the age of 40 had on average 60% less total CD8⁺ T cells compared to those under the age of 40 (median % of PBMCs = 8 in old and 19 in young, p=0.0009, Figure 2C). Older healthy donors, on the other hand, had on average 85% more NK cells compared to younger healthy donors (median % of PBMCs = 10 in older and 5 in younger, p=0.0009, Figure 2D).

Of the 114 subsets relating to maturation and function within the standard cell types, 12 subsets were significantly different in older healthy donors compared to younger individuals (Table 3A). The most notable decreases in subsets relating to maturation and function in healthy donors over age 40 were evident in CD8⁺ T cell subsets; these included on average 70% fewer PD-L1⁺CD8⁺ T cells (p=0.0080, Figure 3A), 55% fewer CTLA-4⁺CD8⁺ T cells (p=0.0480, Figure 3B) and 60% fewer T cell immunoglobulin and mucin domain-3 (Tim-3)⁺CD8⁺ T cells (p=0.0272, Figure 3C). The markers PD-L1, CTLA-4 and Tim-3 are inhibitory receptors involved in immune checkpoint pathways and are increased upon T cell activation and differentiation. [13] Donors over 40 also had decreases in subsets relating to maturation, with lower frequencies of naïve (p=0.0025, Figure 3D) and central memory (CM) (p=0.0063, Figure

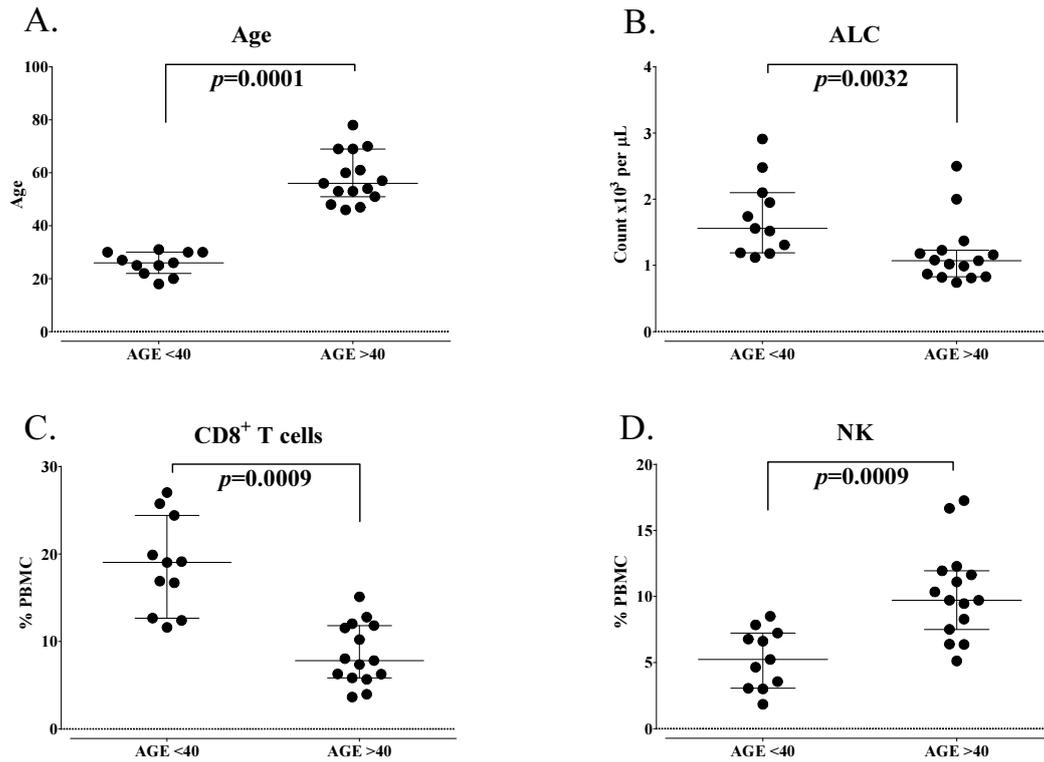


Figure 2. Standard parental immune cell types in healthy donors under and over the age of 40. A: Healthy donors included in this analysis were separated as younger (age less than 40 years, n=11) and older (age greater than 40 years, n=15). B: Absolute lymphocyte count (ALC) of healthy donors under and over age 40. Graphs display median age or ALC with 25-75 percentiles. P-value was calculated using the Mann-Whitney test. CD8⁺ T cells (C) and natural killer (NK) cells (D) were different between healthy donors under and over age 40, as defined by an adjusted $p < 0.05$, the median of groups showing a $> 50\%$ difference, and a frequency above 0.01% of peripheral blood mononuclear cells (PBMCs). Graphs display median frequency as a percentage of PBMCs with 25-75 percentiles. P-value was calculated using the Mann-Whitney test and with Holm adjustment made for multiple comparisons using the number of standard immune cell types with a frequency above 0.01% of PBMCs (n=9).

Immune cell type	Age <40	Age >40	P value	Direction of age >40
CD8 ⁺ T cells	19	8	0.0009	↓
NK	5	10	0.0040	↑
CD4 ⁺ T cells	34	29	0.8975	=
Tregs	1.4	1.5	0.9999	=
B cells	11	16	0.1645	=
NK-T	2.4	1.3	0.4116	=
cDC	0.4	0.3	0.9999	=
pDC	0.2	0.2	0.8975	=
MDSC	5	4	0.9999	=

A total of 9 standard parental immune cell types were analyzed. Values are displayed as median % of PBMCs. P-value was calculated with the Mann-Whitney test with Holm adjustment made for multiple comparisons using the number of standard immune cell types with frequency above 0.01% of PBMCs (n=9). Differences were defined as subsets with an adjusted $p < 0.05$, medians at least 50% different, and frequency above 0.01% of PBMCs.

 cDC, conventional dendritic cells; MDSC, myeloid derived suppressor cells; NK, natural killer; PBMCs, peripheral blood mononuclear cells; pDC, plasmacytoid DC; Tregs, regulatory T cells.

Table 2. Standard parental immune cell types in healthy donors under and over age 40

3E) CD8⁺ T cells. The only subset that showed a trend ($p=0.038$) of increase in healthy donors over age 40 was

Tim-3⁺ B cells (Figure 3F). Tim-3 is an inhibitory marker often examined in T cells and NK cells, and only rarely

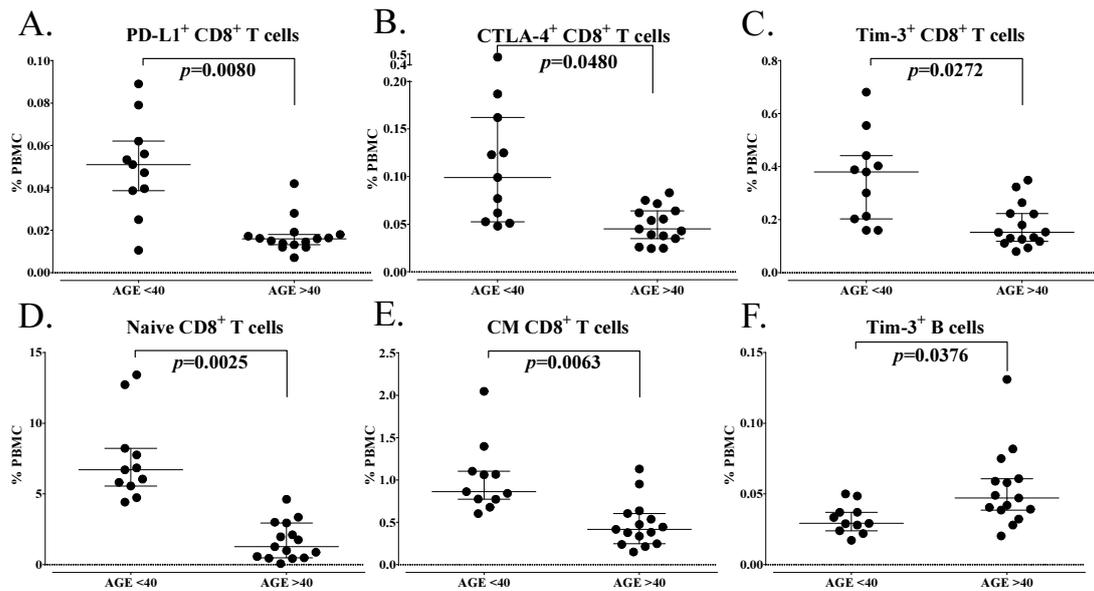


Figure 3. Differences in immune cell subsets related to maturation and function between healthy donors under and over the age of 40. A total of 114 immune cell subsets were analysed relating to maturation and function within the standard subsets. Subsets were considered different if a subset had an adjusted $p < 0.05$, the median of groups showing a $> 50\%$ difference, and a frequency above 0.01% for peripheral blood mononuclear cells (PBMCs). A-F: Representative graphs are shown for notable subsets related to activation and maturation, with differences between healthy donors under and over the age of 40 indicated. Graphs display median frequency as a percentage of PBMCs with 25-75 percentiles. P-value was calculated using the Mann-Whitney test, with Holm adjustment made for multiple comparisons using the number of subsets within each standard subset with a frequency above 0.01% of PBMCs ($n=29$ for $CD4^+$ T cells, 25 for $CD8^+$ T cells, 5 for Tregs, 14 for natural killer cells (NK), 3 for NK-T cells, 4 for B cells, 2 for conventional dendritic cells (cDCs), 3 for plasmacytoid DCs (pDCs) and 15 for myeloid derived suppressor cells (MDSCs)).

described in minor populations of B cells [14]. Total B cells were unchanged.

Other immune cell subsets also showed trends in differences with age; trends were defined as those subsets having an unadjusted p -value < 0.01 (without a significant Holm adjusted p -value), as well as at least a 50% difference in medians and a frequency of PBMCs above 0.01% . Using these criteria, six additional subsets were found to have trends in differences with age (Table 3B). While total $CD4^+$ T cells did not change, the most notable trends were evident in several $CD4^+$ T cell subsets expressing PD-1, which is an inhibitory receptor that is increased upon T cell activation [13]. Healthy donors over the age of 40 had higher levels of PD-1 in total $CD4^+$ T cells, inducible T cell co-stimulator (ICOS) $^+$ $CD4^+$ T cells, effector memory (EM) $CD4^+$ T cells and CM $CD4^+$ T cells (Table 3B). Thus, healthy donors over age 40 had lower levels of activation markers on $CD8^+$ T cells, varied maturation status of $CD8^+$ T cells and trends of higher activation markers in $CD4^+$ T cells compared to healthy donors under age 40.

3.2 Differences in peripheral immune cell subsets between patients with carcinoma and age-matched healthy donors

PBMCs were evaluated for 30 patients with different types of metastatic solid tumours and 15 age-matched healthy donors, all over the age of 40 ($p=0.1550$, Figure 4A). The ALC was similar in both groups ($p=0.1140$, Figure 4B). Using the same criteria as described in the age analysis, seven of the standard parental immune cell types were

similar and two ($CD8^+$ T cells, B cells) were significantly different between cancer patients and healthy donors (Table 4). Surprisingly, cancer patients had on average 90% more $CD8^+$ T cells compared to age-matched healthy donors (median % of PBMCs = 15 in patients and 8 in healthy donors, $p=0.0168$, Figure 4C). On the other hand, cancer patients also had on average 50% less total B cells compared to healthy donors (median % of PBMCs = 8 in patients and 16 in healthy donors, $p=0.0027$, Figure 4D). No notable differences were evident when subsets were compared between patients with different cancer types; however, this was likely due to the small number of patients within each indication that were examined (data not shown).

Of the 114 subsets related to maturation and function within the standard immune cell types, 23 were changed with cancer (Table 5A). Some of the notable increases seen in cancer patients included subsets of T cells expressing basic leucine zipper transcription factor, ATF-like (BATF), PD-L1 and CTLA-4, which are inhibitory markers involved in immune checkpoint pathways and are increased upon T cell activation [13, 15]. While the percentage of $CD4^+$ T cells was similar between cancer patients and healthy donors, cancer patients had 180% higher levels of BATF $^+$ $CD4^+$ T cells ($p=0.0029$, Figure 5A). Along with an increase in the percentage of $CD8^+$ T cells, cancer patients also had 170% higher levels of PD-L1 $^+$ $CD8^+$ T cells ($p=0.0025$, Figure 5B) and 165% higher levels of CTLA-4 $^+$ $CD8^+$ T cells ($p=0.0025$, Figure 5C). Using the less stringent criteria as described above in the age analysis to identify subsets with trends, cancer patients showed

A.

Immune cell type	Age <40	Age >40	P value	Direction of age >40
PD-L1 ⁺ CD8 ⁺ T cells	0.051	0.016	<u>0.0080</u>	↓
CTLA-4 ⁺ CD8 ⁺ T cells	0.099	0.045	<u>0.0480</u>	↓
Tim-3 ⁺ CD8 ⁺ T cells	0.380	0.152	<u>0.0272</u>	↓
TCR ⁺ CD8 ⁺ T cells	19	8	<u>0.0025</u>	↓
Naïve CD8 ⁺ T cells	6.7	1.3	<u>0.0025</u>	↓
PD-L1 ⁺ naïve CD8 ⁺ T cells	0.021	0.004	<u>0.0025</u>	↓
CTLA-4 ⁺ naïve CD8 ⁺ T cells	0.038	0.008	<u>0.0080</u>	↓
Tim-3 ⁺ naïve CD8 ⁺ T cells	0.105	0.026	<u>0.0090</u>	↓
CM CD8 ⁺ T cells	0.862	0.417	<u>0.0063</u>	↓
Tim-3 ⁺ CM CD8 ⁺ T cells	0.019	0.008	<u>0.0025</u>	↓
EMRA CD4 ⁺ T cells	5	2	0.0145	↓
Tim-3 ⁺ B cells	0.029	0.047	0.0376	↑

B.

Immune cell type	Age <40	Age >40	Unadjusted P value	Holm adjusted P value	Trend of age >40
PD-1 ⁺ CD4 ⁺ T cells	2	4	0.0054	0.1404	↑
PD-1 ⁺ ICOS ⁺ CD4 ⁺ T cells	0.550	0.829	0.0020	0.0560	↑
PD-1 ⁺ EM CD4 ⁺ T cells	2	3	0.0077	0.1925	↑
PD-1 ⁺ CM CD4 ⁺ T cells	0.108	0.252	0.0025	0.0675	↑
Mature NK	3	7	0.0064	0.0896	↑
EMRA CD8 ⁺ T cells	2.9	1.3	0.0077	0.1155	↓

A total of 114 subsets were analyzed relating to maturation and function within the standard subsets. A: Table displays subsets that were different in healthy donors under and over age 40, as defined by an adjusted $p < 0.05$, medians at least 50% different, and frequency above 0.01% of PBMCs. Values represent median % of PBMCs, and p-value was calculated with the Mann Whitney test with Holm adjustment made for multiple comparisons using the number of subsets within each standard subset with frequency above 0.01% of PBMCs (n=29 for CD4⁺ T cells, 25 for CD8⁺ T cells, 5 for Tregs, 14 for NK cells, 3 for NK-T cells, 4 for B cells, 2 for cDCs, 3 for pDCs, 15 for MDSCs). B: Table displays subsets with notable trends between healthy donors under and over age 40, as defined by unadjusted $p < 0.01$, medians at least 50% different, and frequency above 0.01% of PBMCs. Values represent median % of PBMCs.

cDC, conventional dendritic cells; CM, central memory; CTLA-4, cytotoxic T lymphocyte-associated protein-4; EM, effector memory; EMRA, terminally differentiated effector memory; ICOS, inducible T cell co-stimulator; MDSC, myeloid derived suppressor cells; NK, natural killer; PBMCs, peripheral blood mononuclear cells; pDC, plasmacytoid DC; PD-1, programmed cell death-1; PD-L1, programmed cell death ligand-1; TCR, T cell receptor; Tim-3, T cell immunoglobulin and mucin domain-3; Tregs, regulatory T cells.

Table 3. Differences in immune cell subsets relating to maturation and function between healthy donors under and over age 40

increases in T box expressed in T cells (Tbet⁺CD8⁺ and eomesodermin (EOMES)⁺CD8⁺, as well as Tbet⁺CD4⁺ cells (Table 5B). These subtypes are known to be increased upon activation and differentiation. [16]

Cancer patients also had notable increases in subsets involving maturation and function in suppressive immune cells. While the percentage of Tregs and MDSCs was similar between cancer patients and healthy donors, cancer patients had higher levels of a Treg subset that expressed CTLA-4 ($p=0.0280$, Figure 5D), which is a suppressive marker for these regulatory cells [17], as well as increased levels of PD-L1⁺ MDSCs ($p=0.0088$, Figure 5E), a marker that has been implicated in suppressive function [18]. Cancer patients also had higher levels of granulocytic MDSCs (gMDSCs) ($p=0.0015$, Figure 5F), which have been found to be more suppressive than monocytic MDSCs (mMDSCs). [19]

While the percentage of pDC levels was also similar between cancer patients and healthy donors, cancer

patients also had higher levels of PD-L1⁺ pDCs ($p=0.0003$, Figure 5G), which can have immunosuppressive and tolerogenic properties [20]. Cancer patients, on the other hand, had lower levels of PD-L1⁺ B cells ($p=0.0009$, Figure 5H), a marker that is upregulated upon B cell activation [21]. Thus, patients with advanced cancer had elevated levels of activation markers involved in immune checkpoint pathways in both CD4⁺ and CD8⁺ T cells, higher Tregs and MDSCs with a suppressive phenotype, and differences in PD-L1 expression on antigen-presenting cells (APCs) compared to age-matched healthy donors.

3.3 Differences in gene expression profiles between cancer patients and age-matched healthy donors

To determine whether changes in immune cell subsets seen at the protein level could be corroborated at the gene level, a study was performed in which gene expression profiling was performed in PBMCs from patients with advanced GI

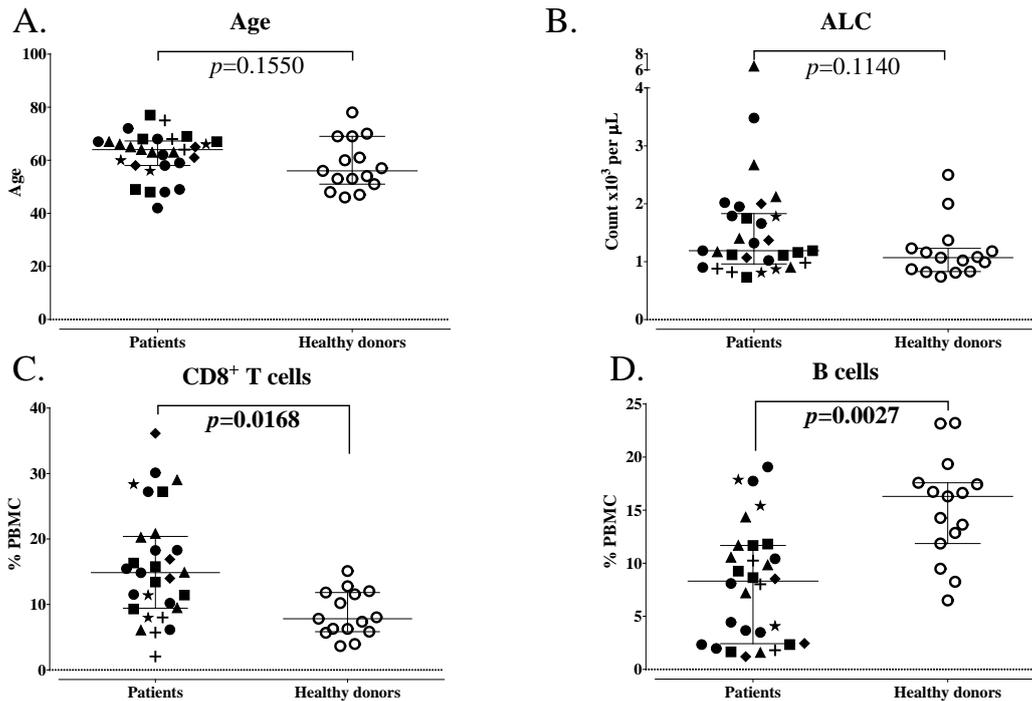


Figure 4. Standard parental immune cell types in age-matched advanced cancer patients and healthy donors. A: Patients with advanced cancer (n=30) and healthy donors (n=15) included in this analysis were age-matched above age 40. B: Absolute lymphocyte count (ALC) of cancer patients and healthy donors. Graphs display median age or ALC with 25-75 percentiles; cancer type indicated by shape. P-value was calculated using the Mann-Whitney test. CD8⁺ T cells (C) and B cells (D) were different in cancer patients and healthy donors, as defined by an adjusted $p < 0.05$, the median of groups showing a $> 50\%$ difference, and a frequency above 0.01% for peripheral blood mononuclear cells (PBMCs). Graphs display median frequency as a percentage of PBMCs with 25-75 percentiles; cancer type indicated by shape (square: GI (anal, colon, oesophageal); n=6; triangle: pancreatic, n=6; star: breast, n=3; plus sign: mesothelioma, n=3; diamond: renal cell, n=3; closed circle: other (adrenocortical, chordoma, lung, medullary thyroid, neuroendocrine, ovarian, prostate, spindle cell), n=9; open circle: healthy donors, n=15). P-value was calculated using the Mann-Whitney test, with Holm adjustment made for multiple comparisons using the number of standard subsets with a frequency above 0.01% for PBMCs (n=9).

Immune cell type	Cancer patients	Healthy donors	P value	Direction of patients
CD8 ⁺ T cells	15	8	0.0168	↑
B cells	8	16	0.0027	↓
CD4 ⁺ T cells	26	29	0.9999	=
Tregs	1.4	1.5	0.9999	=
NK	6	10	0.0595	=
NK-T	1.9	1.3	0.4650	=
cDC	0.3	0.3	0.9999	=
pDC	0.2	0.2	0.2142	=
MDSC	4	4	0.9999	=

A total of 9 standard parental immune cell types were analyzed. Values are displayed as median % of PBMCs. P-value was calculated with the Mann Whitney test with Holm adjustment made for multiple comparisons using the number of standard immune cell types with frequency above 0.01% of PBMCs (n=9). Differences were defined as subsets with an adjusted $p < 0.05$, medians at least 50% different, and frequency above 0.01% of PBMCs.

 cDC, conventional dendritic cells; MDSC, myeloid derived suppressor cells; NK, natural killer; PBMCs, peripheral blood mononuclear cells; pDC, plasmacytoid DC; Tregs, regulatory T cells.

Table 4. Standard parental immune cell types in age-matched advanced cancer patients and healthy donors

cancer (n=4) and age-matched healthy donors (n=5). A total of 157 genes were significantly different (p-value < 0.05 and

fold change $> |1.5|$) between the two groups (Figure 6A). Pathway analysis demonstrated that genes were localized

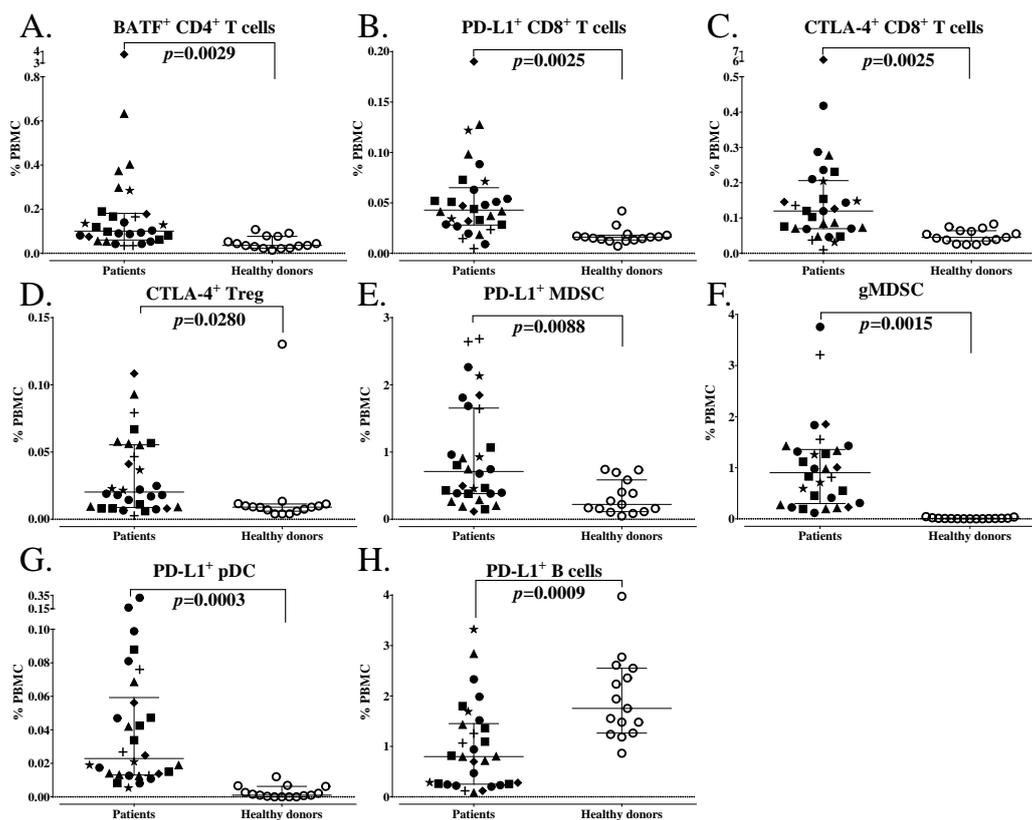


Figure 5. Differences in immune cell subsets related to maturation and function between age-matched advanced cancer patients and healthy donors. A total of 114 subsets were analysed related to maturation and function within the standard subsets. Subsets were considered different if a subset had an adjusted $p < 0.05$, the median of groups showing a $> 50\%$ difference, and a frequency above 0.01% for peripheral blood mononuclear cells (PBMCs). A-H: Representative graphs are shown for notable subsets with differences between cancer patients and healthy donors. Graphs display median frequency as a percentage of PBMCs with 25-75 percentiles; cancer type indicated by shape (square: GI (anal, colon, oesophageal), $n=6$; triangle: pancreatic, $n=6$; star: breast, $n=3$; plus sign: mesothelioma, $n=3$; diamond: renal cell, $n=3$; closed circle: other (adrenocortical, chordoma, lung, medullary thyroid, neuroendocrine, ovarian, prostate, spindle cell), $n=9$; open circle: healthy donors, $n=15$). P-value was calculated using the Mann-Whitney test, with Holm adjustment made for multiple comparisons using the number of subsets within each standard subset with a frequency above 0.01% for PBMCs ($n=29$ for $CD4^+$ T cells, 25 for $CD8^+$ T cells, 5 for Tregs, 14 for natural killer (NK) cells, 3 for NK-T cells, 4 for B cells, 2 for conventional dendritic cells (cDCs), 3 for plasmacytoid DCs (pDCs) and 15 for myeloid derived suppressor cells (MDSCs)).

to several pathways, including B cell receptor signalling and glutamate signalling pathways (Figure 6B). Several genes implicated in the regulation of immune cells including MDSCs, B cells and T cells were identified as differentially expressed between the two groups (Figure 6C). For MDSCs, this included decreases in CD200, a ligand receptor expressed on myeloid cells involved in the inhibition of MDSC signalling [22], as well as increases in CD300LB, an immune receptor expressed in myeloid cells involved in the activation of suppressor function [23]. With regard to B cells, changes included decreases in the gene expression of CD19, CD22, CD72, IKZF3 and GCSAM in cancer patients compared to healthy donors. CD19 is the hallmark differentiation antigen of B cell lineage, positively regulating antigen receptor signalling in these cells [24]; CD22 and CD72 are involved in B cell maturation [25, 26], IKZF3 is a transcription factor involved in B cell proliferation and development [27], and GCSAM, also known as HGAL, has been shown to activate B cell receptor signalling by enhancing its kinase activity [28]. Multiple T cell receptor genes were also decreased in both the alpha and beta families.

4. Discussion

With the expanded use of immunotherapeutics such as checkpoint inhibitor MAbs, vaccines and immune modulators in the management of many cancer types, the identification (either prior to therapy and/or early in the therapeutic regimen) of patients most likely to benefit from immunotherapy becomes more important. For example, evidence has emerged from studies of patients with melanoma and other tumour types of prognostic factors derived from tumour biopsies such as: (a) the number of mutations, (b) the expression of PD-L1 on tumour cells and/or (c) the presence of immune infiltrate. However, using these factors has not always provided a good surrogate of patient benefits with clear outliers (both positive and negative) for all three of these parameters. Moreover, with the exception of melanoma and some metastatic lesions to the skin of other tumour types, biopsies of metastatic lesions of most solid tumours are not always feasible and numerous studies have shown that the phenotype of primary tumours often differs from metastatic lesions. Analyses of immune cells in the periphery may very well aid – along with other parameters mentioned – in selecting

A.

Immune cell type	Cancer patients	Healthy donors	P value	Direction of patients
BATF ⁺ CD4 ⁺ T cells	0.101	0.036	0.0029	↑
PD-L1 ⁺ CM CD4 ⁺ T cells	0.014	0.008	0.0448	↑
PD-L1 ⁺ CD8 ⁺ T cells	0.043	0.016	0.0025	↑
CTLA-4 ⁺ CD8 ⁺ T cells	0.120	0.045	0.0025	↑
TCR ⁺ CD8 ⁺ T cells	14	8	0.0231	↑
PD-L1 ⁺ EM CD8 ⁺ T cells	0.017	0.006	0.0025	↑
CTLA-4 ⁺ EM CD8 ⁺ T cells	0.048	0.021	0.0044	↑
CTLA-4 ⁺ EMRA CD8 ⁺ T cells	0.022	0.009	0.0231	↑
CTLA-4 ⁺ Tregs	0.020	0.009	0.0280	↑
PD-L1 ⁺ MDSC	0.711	0.221	0.0088	↑
PD-1 ⁺ MDSC	0.708	0.115	0.0015	↑
CD16 ⁺ MDSC	1.004	0.189	0.0015	↑
gMDSC	0.903	0.006	0.0015	↑
PD-L1 ⁺ gMDSC	0.272	0.001	0.0015	↑
PD-1 ⁺ gMDSC	0.529	0.002	0.0015	↑
CD16 ⁺ gMDSC	0.761	0.002	0.0015	↑
PD-L1 ⁺ lin neg MDSC	0.183	0.041	0.0015	↑
PD-L1 ⁺ pDC	0.023	0.001	0.0003	↑
PD-1 ⁺ pDC	0.012	0.001	0.0003	↑
PD-L1 ⁺ B cells	0.797	1.754	0.0009	↓
PD-1 ⁺ B cells	0.354	0.726	0.0009	↓
Immature NK	0.300	0.611	0.0014	↓
Unconventional NK	0.316	0.785	0.0130	↓

B.

Immune cell type	Cancer patients	Healthy donors	Unadjusted P value	Holm adjusted P value	Trend of patients
Tbet ⁺ CD4 ⁺ T cells	2.252	1.101	0.0053	0.1431	↑
Tbet ⁺ CD8 ⁺ T cells	1.732	0.538	0.0035	0.0665	↑
EOMES ⁺ CD8 ⁺ T cells	3	2	0.0098	0.1764	↑

A total of 114 subsets were analyzed relating to maturation and function within the standard subsets. A: Table displays subsets that were different in cancer patients and age-matched healthy donors, as defined by an adjusted $p < 0.05$, medians at least 50% different, and frequency above 0.01% of PBMCs. Values represent median % of PBMCs, and p-value was calculated with the Mann Whitney test with Holm adjustment made for multiple comparisons using the number of subsets within each standard subset with frequency above 0.01% of PBMCs (n=29 for CD4⁺ T cells, 25 for CD8⁺ T cells, 5 for Tregs, 14 for NK cells, 3 for NK-T cells, 4 for B cells, 2 for cDCs, 3 for pDCs, 15 for MDSCs). B: Table displays subsets with notable trends between cancer patients and healthy donors as defined by unadjusted $p < 0.01$, medians at least 50% different, and frequency above 0.01% of PBMCs. Values represent median % of PBMCs.

 BATF, basic leucine zipper transcription factor ATF-like; cDC, conventional dendritic cells; CM, central memory; CTLA-4, cytotoxic T lymphocyte-associated protein-4; EM, effector memory; EMRA, terminally differentiated effector memory; EOMES, eomesodermin; gMDSCs, granulocytic mononuclear derived suppressor cells; lin neg MDSCs, lineage negative MDSCs; NK, natural killer; PBMCs, peripheral blood mononuclear cells; pDC, plasmacytoid DC; PD-1, programmed cell death-1; PD-L1, programmed cell death ligand-1; Tbet, T box expressed in T cells; TCR, T cell receptor; Tim-3, T cell immunoglobulin and mucin domain-3; Tregs, regulatory T cells.

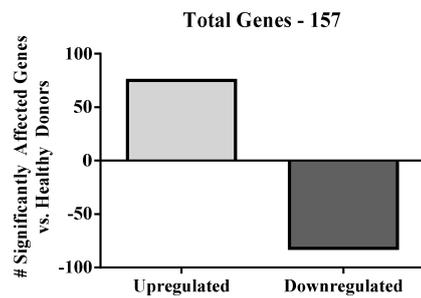
Table 5. Differences in immune cell subsets relating to maturation and function between age-matched advanced cancer patients and healthy donors

patients most likely to benefit from and/or in identifying patients early on in the agent regimen who will benefit from a specific immunotherapy.

Recent advances in human immunology using multicolour flow cytometry and high-throughput computation analysis (cytomics) now allow for the identification of numerous individual peripheral immune cell subsets [29-31]; moreover, the biological function and relevance of many of these subsets have been described [5]. To our knowledge, the study reported here is the most comprehensive to date, analysing 123 different peripheral immune cell subsets with a focus on subsets related to maturation and function

(Table 1), comparing healthy donors under vs. over the age of 40, and comparing metastatic cancer patients with age-matched healthy donors. It should be noted that all comparisons between groups in this study employed the Mann-Whitney test and resulting p-values were adjusted using the step-down Holm adjustment for multiple comparisons. This adjustment was applied to account for the increased probability of Type I errors (false positives) that occur when multiple outcome measures (e.g., 123 subsets) are assessed. However, as p-value adjustments also increase the risk of Type II errors (false negatives), we additionally performed a less stringent analysis evaluating

A.



B.

<u>Ingenuity Canonical Pathways</u>	<u>-log (p value)</u>
Pathogenesis of Multiple Sclerosis	3.09E00
Primary Immunodeficiency Signaling	2.77E00
Glutamate Biosynthesis II	1.96E00
Glutamate Degradation X	1.96E00
Granulocyte Adhesion and Diapedesis	1.96E00
B Cell Receptor Signaling	1.89E00
Coagulation System	1.8E00
α -tocopherol Degradation	1.78E00
Systemic Lupus Erythematosus Signaling	1.74E00
Role of Tissue Factor in Cancer	1.72E00
NAD Biosynthesis III	1.66E00
Role of Pattern Recognition Receptors in Recognition of Bacteria and Viruses	1.57E00

C.

<u>Gene</u>	<u>Immune Cell Type</u>	<u>Fold Change in Patients</u>	<u>P value</u>
CD200	MDSC	-1.82	0.008
CD300LB	MDSC	1.60	0.038
CD19	B cell	-1.76	0.026
CD22	B cell	-1.79	0.042
CD72	B cell	-1.60	0.032
IKZF3	B cell	-1.56	0.029
GCSAM	B cell	-1.55	0.020
CD44	T cell	2.03	0.006
TRBV7-8	T cell	-2.18	0.024
TRBV6-9	T cell	-1.58	0.007
TRBV6-6	T cell	-1.87	0.018
TRBV3-1	T cell	-2.40	0.013
TRBV20OR9-2	T cell	-1.77	0.027
TRBV2	T cell	-3.04	0.001
TRAV26-2	T cell	-3.18	0.013
TRAV23DV6	T cell	-2.01	0.040
TRAV16	T cell	-2.18	0.046
TRAV13-1	T cell	-1.80	0.014
TRAJ9	T cell	-2.21	0.037
TRAJ45	T cell	-1.82	0.050
TRAJ43	T cell	-2.42	0.003
TRAJ42	T cell	-2.02	0.004

Figure 6. Microarray analysis in PBMCs from metastatic patients with GI cancer and age-matched healthy donors. Microarray analysis was performed on RNA isolated from PBMCs of patients with advanced GI cancer (n=4) and age-matched healthy donors (n=5). A: Total number of significantly affected genes ($p < 0.05$ and fold change $> |1.5|$). B: Ingenuity pathway analysis of the 157 significantly affected genes. Pathways are listed in the left column and p-value (Fisher's exact test) is listed in the right column. C: Key immune related genes identified as differentially expressed ($p < 0.05$ and fold change $> |1.5|$), with known key immunological function between advanced cancer patients and age-matched healthy donors. Includes gene name, known cell type, fold change and p-value.

unadjusted p-values and identified trends of changes in subsets with an unadjusted $p < 0.01$.

Changes in the immune system with aging are evidenced by the observation that older individuals have a higher prevalence of autoimmunity, chronic diseases and cancer [32]. Previous studies examining peripheral immune cells during aging have focused primarily on certain standard immune cell types and, in some cases, on their memory status. In addition, prior studies have examined PBMCs of individuals in a young group, defined as aged 18-34, vs. an

older group, above the age of 65 [33, 34]; other studies have also used an older cut-off ranging between 60-65 years of age in order to separate older from younger individuals [35-37].

In the study reported here, age 40 [6, 7] was used as a demarcation to define younger and older healthy donor groups, as the risk of cancer rises substantially at age 40. With more cancers also arising earlier in life, it is also important to define the relationship of age on immune cell subsets evaluating a younger age population, rather than

focusing on populations aged 65 and above. In this study, differences were not evident when subsets (albeit smaller number per group) were examined by age decade or gender; however, as described above, a number of changes were identified between healthy donors when age groups were defined as below or above the age of 40.

We show here for the first time that healthy donors over the age of 40 had lower ALC, lower CD8⁺ T cells, lower activation markers within CD8⁺ T cells, lower naïve and central memory CD8⁺ T cells and higher NK cells, compared to those under the age of 40 (Figures 2 and 3). NK results are in agreement with those of others reporting an increase in total NK cells with age; however, this increase of NK cells in older individuals was reported when examining donors according to young and old extremes, and when using age 60 as a cut-off to define older individuals [38-40]. In prior studies, using age 60 as a cut-off for older individuals, it has been shown that the CD8⁺ T cell compartment was more affected by age than CD4⁺ T cells [32, 35]. The most notable changes in the studies reported here with regard to age (under vs. over 40) were evident in the T cell compartment and specifically involved CD8⁺ T cells.

In addition to the standard immune cell types, we also examined many subsets related to maturation and function with known biological importance, including immune cells expressing immune checkpoints such as PD-1, PD-L1, CTLA-4 and Tim-3. With regards to maturation status, it is generally accepted that there is a decrease in naïve T cells and an increase in memory T cells with age [2]. It was shown here that healthy donors > 40 years had a decrease in both naïve and central memory CD8⁺ T cells. This substantiates and extends a prior report that elderly individuals have a decrease in both naïve and central memory CD8⁺ T cells in cases where younger donors with an average age of 30 were compared to older donors with an average age of 70 [35]. It has also been reported that T cell signalling can be altered with aging, involving the expression of T cell receptor (TCR) components, signal transduction and the expression of costimulatory receptors [41]. The most notable differences in our age analysis were related to activation markers in CD8⁺ T cells. We found (Figure 3) that healthy donors over the age of 40 had decreases in CD8⁺ T cells that expressed PD-L1, CTLA-4 and Tim-3, which are inhibitory molecules involved in T cell immune checkpoints and can serve as markers of immune activation [13, 42].

Many prior studies comparing healthy donors with cancer patients have focused mainly on standard immune cell types and maturation markers [43-48], while others [42, 49] have also examined several additional subsets. We report here that among the standard immune cell types, there were significantly higher levels of CD8⁺ T cells and lower levels of B cells in cancer patients compared to age-matched healthy donors (Figure 4). Notable differences in immune cells related to maturation and function were also

evident between cancer patients and healthy donors. We show here for the first time that patients with multiple indications of advanced cancer had higher activation markers involved in immune checkpoint pathways in CD4⁺ and CD8⁺ T cells, several higher suppressive Treg and MDSC subsets, and altered expression of PD-L1 on APCs (Figure 5). CTLA-4 and PD-L1 are co-inhibitory molecules that are expressed on T cells upon activation [42, 50] and BATF is a transcription factor involved in PD-1 signalling [15]. Cancer patients had higher levels of BATF⁺CD4⁺ T cells, PD-L1⁺CD8⁺ T cells and CTLA-4⁺CD8⁺ T cells compared to healthy donors. Expression of PD-L1 on APCs was also altered in cancer patients, with lower levels detected on B cells and higher levels on pDCs when compared to healthy individuals.

Prior studies [51, 52] have shown that cancer patients also had higher levels of Tregs and MDSCs compared to healthy donors. In the studies reported here, while levels of total Tregs were similar to healthy donors, cancer patients had increased levels of CTLA-4⁺ Tregs, which is a phenotype of a biologically suppressive Treg [17]. It has also been previously reported that while prostate cancer patients had a similar frequency of total Tregs in peripheral blood as healthy donors, the CTLA-4⁺ Tregs from patients had greater suppressive functionality [53]. Additionally, patients with head and neck squamous cell carcinomas have also been shown to have increased levels of the CTLA-4⁺ on Tregs when compared to healthy donors [54]. While we did not find an increase in MDSCs in cancer patients in this study, cancer patients displayed an increased frequency in several subsets of MDSCs with a suppressive phenotype, including PD-L1⁺ MDSCs and gMDSCs.

In order to corroborate changes between cancer patients and healthy donors at the phenotypic level with those at the gene level, we performed genome-wide gene expression profiling in an additional subset of advanced cancer patients and age-matched healthy donors. In the flow cytometry analysis, some subsets of MDSCs were increased, while B cells were decreased in cancer patients relative to healthy donors. In the microarray data set, we observed a down-regulation of the MDSC suppressor CD200 and up-regulation of the MDSC activator CD300LB, as well as decreased expression of CD19 and several other markers of B cell maturation and activation. It has already been well-established that the identification of gene transcripts in PBMCs correlate to changes in survival, disease progression and other various clinical outcomes, especially in cancer [55-60]; however, these data demonstrate the potential for combining extensive phenotypical analysis with genome-wide gene expression analysis.

While the study reported here identified 123 immune cell subsets using flow cytometry, additional subsets could provide important insight regarding the effect of age and cancer on the immune system. For example, up to 17 unique B cell subsets have been described in the peripheral blood [61]; however, due to limitations in both the quantity of

patient blood available, as well as the number of colours able to be run per panel on the LSR II, we were able to include only five B cell subsets in our study. Future studies might incorporate additional panels focusing on specific subsets such as B cells and NK cells – where additional phenotypic markers have been described in the literature – in order to gain deeper insight into the standard subsets. In addition, the utilization of new technologies such as CyTOF, the next generation of cell detection that allows for the simultaneous detection of up to 50 antibodies in a single panel, may overcome these limitations and allow for the efficient detection of numerous immune subsets from small samples [62, 63].

Analyses of the 123 immune cell subsets reported here required only 1×10^7 PBMCs obtained from ~5-10 mL of peripheral blood. This is a minimally invasive procedure and analyses could be performed immediately prior to immunotherapy and/or early in the agent regimen. Analyses of PBMC subsets can also identify changes in the patient's immune constitution as a result of prior and/or more recent therapies. Studies [64] have shown that some so-called “non-immune”-based therapies, such as certain chemotherapeutics and targeted therapies, can have appreciable effects (either positive or negative) on subsequent immunotherapy regimens. Recent hypothesis-generating clinical studies have also provided evidence in different immunotherapy trials that analyses of immune cell subsets may correlate with patient benefits [8, 42, 65]. Larger trials will need to be carried out to expand and confirm these findings. Purified PBMCs in this study were frozen and stored for batch analyses to reduce any assay to assay variations.

The studies reported here are meant to provide a foundation for the use of the 123 peripheral immune cell subset panels in future randomized clinical studies involving well-defined and homogeneous patient populations, as well as for use as an adjunct to other prognostic analyses such as the interrogation of patient biopsies, when available. In addition, to more carefully assess the changes in immune cell subsets as cancer progresses, longitudinal studies are planned that will include patients with different stages of cancer. Using these panels, we were able to identify differences in peripheral immune cell subsets between younger and older healthy donors, and between advanced cancer patients and age-matched controls. While the studies here focused on differences in age and between healthy donors and cancer patients, the analysis of 123 peripheral immune cell subsets can potentially also be employed to provide valuable information in the prognosis and/or therapy of patients with autoimmunity and other chronic or infectious diseases.

5. Compliance with Ethical Research Standards

All of the subjects gave informed consent. Samples from healthy donors were obtained from the NIH Clinical Center Blood Bank (NCT00001846), and patients were enrolled in clinical trials approved by the NCI IRB (NCT01772004, NCT00088413).

6. Conflict of Interest

The authors declare that they have no conflicts of interest.

7. Funding

Grant support was provided by the Intramural Research Program of the Center for Cancer Research, National Cancer Institute, National Institutes of Health.

8. Acknowledgements

The authors thank Debra Weingarten for her editorial assistance in the preparation of this manuscript.

9. References

- [1] Whiteside TL. Immune suppression in cancer: effects on immune cells, mechanisms and future therapeutic intervention. *Semin Cancer Biol.* 2006;16:3-15. DOI:10.1016/j.semcancer.2005.07.008
- [2] Desai A, Grolleau-Julius A, Yung R. Leukocyte function in the aging immune system. *J Leukoc Biol.* 2010;87:1001-9. DOI:10.1189/jlb.0809542
- [3] Zanussi S, Serraino D, Dolcetti R, Berretta M, De Paoli P. Cancer, aging and immune reconstitution. *Anticancer Agents Med Chem.* 2013;13:1310-24.
- [4] Chattopadhyay PK, Roederer M. Cytometry: today's technology and tomorrow's horizons. *Methods.* 2012;57:251-8. DOI:10.1016/j.ymeth.2012.02.009
- [5] Perfetto SP, Chattopadhyay PK, Roederer M. Seventeen-colour flow cytometry: unravelling the immune system. *Nat Rev Immunol.* 2004;4:648-55. DOI:10.1038/nri1416
- [6] Camous X, Pera A, Solana R, Larbi A. NK cells in healthy aging and age-associated diseases. *J Biomed Biotechnol.* 2012; 2012: 195956. DOI:10.1155/2012/195956
- [7] Howlander N NA, Krapcho M, Garshell J, Miller D, Altekruse SF, Kosary CL, Yu M, Ruhl J, Tatalovich Z, Mariotto A, Lewis DR, Chen HS, Feuer EJ, Cronin KA (eds). SEER Cancer Statistics Review 1975-2012. National Cancer Institute; 2015; Available from: http://seer.cancer.gov/csr/1975_2012/ [Accessed: 2015-10-5].
- [8] Jochems C, Tucker JA, Tsang KY, Madan RA, Dahut WL, Liewehr DJ, Steinberg SM, Gulley JL, Schlom J. A combination trial of vaccine plus ipilimumab in metastatic castration-resistant prostate cancer patients: immune correlates. *Cancer Immunol Immunother.* 2014; 63: 407-18. DOI: 10.1007/s00262-014-1524-0
- [9] Madan RA, Bilusic M, Heery C, Schlom J, Gulley JL. Clinical evaluation of TRICOM vector therapeutic cancer vaccines. *Semin Oncol.* 2012; 39: 296-304.

- Epub 2012/05/19. DOI:10.1053/j.seminoncol. 2012.02.010
- [10] Boyerinas B, Jochems C, Fantini M, Heery CR, Gulley JL, Tsang KY, Schlom J. Antibody-Dependent Cellular Cytotoxicity Activity of a Novel Anti-PD-L1 Antibody Avelumab (MSB0010718C) on Human Tumor Cells. *Cancer Immunol Res.* 2015. DOI:10.1158/2326-6066.CIR-15-0059
- [11] Idorn M, Kollgaard T, Kongsted P, Sengelov L, Thor Straten P. Correlation between frequencies of blood monocytic myeloid-derived suppressor cells, regulatory T cells and negative prognostic markers in patients with castration-resistant metastatic prostate cancer. *Cancer Immunol Immunother.* 2014;63:1177-87. DOI:10.1007/s00262-014-1591-2
- [12] Feise RJ. Do multiple outcome measures require p-value adjustment? *BMC Med Res Methodol.* 2002;2:8.
- [13] Baitsch L, Legat A, Barba L, Fuertes Marraco SA, Rivals JP, Baumgaertner P, Christiansen-Jucht C, Bouzourene H, Rimoldi D, Pircher H, Rufer N, Matter M, Michielin O, Speiser DE. Extended co-expression of inhibitory receptors by human CD8 T-cells depending on differentiation, antigen-specificity and anatomical localization. *PLoS One.* 2012;7:e30852. DOI:10.1371/journal.pone.0030852
- [14] Foks AC, Ran IA, Wasserman L, Frodermann V, Ter Borg MN, de Jager SC, van Santbrink PJ, Yagita H, Akiba H, Bot I, Kuiper J, van Puijvelde GH. T-cell immunoglobulin and mucin domain 3 acts as a negative regulator of atherosclerosis. *Arterioscler Thromb Vasc Biol.* 2013;33:2558-65. DOI:10.1161/ATVBAHA.113.301879
- [15] Quigley M, Pereyra F, Nilsson B, Porichis F, Fonseca C, Eichbaum Q, Julg B, Jesneck JL, Brosnahan K, Imam S, Russell K, Toth I, Piechocka-Trocha A, Dolfi D, Angelosanto J, Crawford A, Shin H, Kwon DS, Zupkosky J, Francisco L, Freeman GJ, Wherry EJ, Kaufmann DE, Walker BD, Ebert B, Haining WN. Transcriptional analysis of HIV-specific CD8+ T cells shows that PD-1 inhibits T cell function by upregulating BATF. *Nat Med.* 2010;16:1147-51. DOI:10.1038/nm.2232
- [16] D'Cruz LM, Rubinstein MP, Goldrath AW. Surviving the crash: transitioning from effector to memory CD8+ T cell. *Semin Immunol.* 2009;21:92-8. DOI: 10.1016/j.smim.2009.02.002
- [17] Sansom DM, Walker LS. The role of CD28 and cytotoxic T-lymphocyte antigen-4 (CTLA-4) in regulatory T-cell biology. *Immunol Rev.* 2006; 212:131-48. DOI: 10.1111/j.0105-2896.2006.00419.x
- [18] Noman MZ, Desantis G, Janji B, Hasmim M, Karray S, Dessen P, Bronte V, Chouaib S. PD-L1 is a novel direct target of HIF-1alpha, and its blockade under hypoxia enhanced MDSC-mediated T cell activation. *J Exp Med.* 2014;211:781-90. DOI:10.1084/jem.20131916
- [19] Duffy A, Zhao F, Haile L, Gamrekelashvili J, Fioravanti S, Ma C, Kapanadze T, Compton K, Figg WD, Greten TF. Comparative analysis of monocytic and granulocytic myeloid-derived suppressor cell subsets in patients with gastrointestinal malignancies. *Cancer Immunol Immunother.* 2013;62:299-307. DOI:10.1007/s00262-012-1332-3
- [20] Ma Y, Shurin GV, Gutkin DW, Shurin MR. Tumor associated regulatory dendritic cells. *Semin Cancer Biol.* 2012;22:298-306. DOI:10.1016/j.semcancer.2012.02.010
- [21] Thibult ML, Mamessier E, Gertner-Dardenne J, Pastor S, Just-Landi S, Xerri L, Chetaille B, Olive D. PD-1 is a novel regulator of human B-cell activation. *Int Immunol.* 2013;25:129-37. DOI:10.1093/intimm/dxs098
- [22] Barclay AN, Wright GJ, Brooke G, Brown MH. CD200 and membrane protein interactions in the control of myeloid cells. *Trends Immunol.* 2002;23:285-90. Epub 2002/06/20.
- [23] Martinez-Barriocanal A, Sayos J. Molecular and functional characterization of CD300b, a new activating immunoglobulin receptor able to transduce signals through two different pathways. *J Immunol.* 2006;177:2819-30. Epub 2006/08/22.
- [24] Tedder TF, Poe JC, Fujimoto M, Haas KM, Sato S. The CD19-CD21 signal transduction complex of B lymphocytes regulates the balance between health and autoimmune disease: systemic sclerosis as a model system. *Curr Dir Autoimmun.* 2005;8:55-90. Epub 2004/11/27. DOI:10.1159/000082087
- [25] Tedder TF, Tuscano J, Sato S, Kehrl JH. CD22, a B lymphocyte-specific adhesion molecule that regulates antigen receptor signaling. *Annu Rev Immunol.* 1997;15:481-504. Epub 1997/01/01. DOI: 10.1146/annurev.immunol.15.1.481
- [26] Yamazaki T, Nagumo H, Hayashi T, Sugane K, Agematsu K. CD72-mediated suppression of human naive B cell differentiation by down-regulating X-box binding protein 1. *Eur J Immunol.* 2005;35:2325-34. Epub 2005/07/28. DOI:10.1002/eji.200425639
- [27] Schmitt C, Tonnelle C, Dalloul A, Chabannon C, Debre P, Rebollo A. Aiolos and Ikaros: regulators of lymphocyte development, homeostasis and lymphoproliferation. *Apoptosis.* 2002;7:277-84. Epub 2002/05/09.
- [28] Lu X, Sicard R, Jiang X, Stockus JN, McNamara G, Abdulreda M, Moy VT, Landgraf R, Lossos IS. HGAL localization to cell membrane regulates B-cell receptor signaling. *Blood.* 2015;125:649-57. Epub 2014/11/09. DOI: 10.1182/blood-2014-04-571331

- [29] Roederer M, Tarnok A. OMIPs – Orchestrating multiplexity in polychromatic science. *Cytometry A*. 2010;77:811-2. Epub 2010/08/20. DOI:10.1002/cyto.a.20959
- [30] Brinkman RR, Aghaeepour N, Finak G, Gottardo R, Mosmann T, Scheuermann RH. State-of-the-Art in the Computational Analysis of Cytometry Data. *Cytometry A*. 2015;87:591-3. Epub 2015/06/26. DOI: 10.1002/cyto.a.22707
- [31] Robinson JP, Rajwa B, Patsekina V, Davisson VJ. Computational analysis of high-throughput flow cytometry data. *Expert Opin Drug Discov*. 2012;7:679-93. Epub 2012/06/20. DOI: 10.1517/17460441.2012.693475
- [32] Castelo-Branco C, Soveral I. The immune system and aging: a review. *Gynecol Endocrinol*. 2014;30:16-22. DOI:10.3109/09513590.2013.852531
- [33] Ligthart GJ, Corberand JX, Fournier C, Galanaud P, Hijmans W, Kennes B, Muller-Hermelink HK, Steinmann GG. Admission criteria for immunogerontological studies in man: the SENIEUR protocol. *Mech Ageing Dev*. 1984;28:47-55.
- [34] Plackett TP, Boehmer ED, Faunce DE, Kovacs EJ. Aging and innate immune cells. *J Leukoc Biol*. 2004;76:291-9. DOI:10.1189/jlb.1103592
- [35] Czesnikiewicz-Guzik M, Lee WW, Cui D, Hiruma Y, Lamar DL, Yang ZZ, Ouslander JG, Weyand CM, Goronzy JJ. T cell subset-specific susceptibility to aging. *Clin Immunol*. 2008;127:107-18. DOI:10.1016/j.clim.2007.12.002
- [36] Gregg R, Smith CM, Clark FJ, Dunnion D, Khan N, Chakraverty R, Nayak L, Moss PA. The number of human peripheral blood CD4+ CD25high regulatory T cells increases with age. *Clin Exp Immunol*. 2005; 140: 540-6. DOI: 10.1111/j. 1365-2249. 2005.02798.x
- [37] Verschoor CP, Johnstone J, Millar J, Dorrington MG, Habibagahi M, Lelic A, Loeb M, Bramson JL, Bowdish DM. Blood CD33(+)/HLA-DR(-) myeloid-derived suppressor cells are increased with age and a history of cancer. *J Leukoc Biol*. 2013; 93:633-7. DOI: 10.1189/jlb.0912461
- [38] Borrego F, Alonso MC, Galiani MD, Carracedo J, Ramirez R, Ostos B, Pena J, Solana R. NK phenotypic markers and IL2 response in NK cells from elderly people. *Exp Gerontol*. 1999;34:253-65.
- [39] Gayoso I, Sanchez-Correa B, Campos C, Alonso C, Pera A, Casado JG, Morgado S, Tarazona R, Solana R. Immunosenescence of human natural killer cells. *J Innate Immun*. 2011;3:337-43. DOI: 10.1159/000328005
- [40] Le Garff-Tavernier M, Beziat V, Decocq J, Siguret V, Gandjbakhch F, Pautas E, Debre P, Merle-Beral H, Vieillard V. Human NK cells display major phenotypic and functional changes over the life span. *Aging Cell*. 2010;9:527-35. DOI:10.1111/j. 1474-9726. 2010. 00584.x
- [41] Pawelec G, Hirokawa K, Fulop T. Altered T cell signalling in ageing. *Mech Ageing Dev*. 2001;122:1613-37.
- [42] Santegoets SJ, Stam AG, Lougheed SM, Gall H, Scholten PE, Reijm M, Jooss K, Sacks N, Hege K, Lowy I, Cuillerot JM, von Blomberg BM, Scheper RJ, van den Eertwegh AJ, Gerritsen WR, de Gruijl TD. T cell profiling reveals high CD4+CTLA-4 + T cell frequency as dominant predictor for survival after prostate GVAX/ipilimumab treatment. *Cancer Immunol Immunother*. 2013;62:245-56. DOI: 10.1007/s00262-012-1330-5
- [43] Caras I, Grigorescu A, Stavaru C, Radu DL, Mogos I, Szegli G, Salageanu A. Evidence for immune defects in breast and lung cancer patients. *Cancer Immunol Immunother*. 2004;53:1146-52. DOI: 10.1007/s00262-004-0556-2
- [44] Evans CF, Galustian C, Bodman-Smith M, Dalgleish AG, Kumar D. The effect of colorectal cancer upon host peripheral immune cell function. *Colorectal Dis*. 2010; 12: 561-9. DOI: 10.1111/j. 1463-1318.2009.01819.x
- [45] Heuvers ME, Muskens F, Bezemer K, Lambers M, Dingemans AM, Groen HJ, Smit EF, Hoogsteden HC, Hegmans JP, Aerts JG. Arginase-1 mRNA expression correlates with myeloid-derived suppressor cell levels in peripheral blood of NSCLC patients. *Lung Cancer*. 2013;81:468-74. DOI:10.1016/j.lungcan.2013.06.005
- [46] Melichar B, Touskova M, Solichova D, Kralickova P, Kopecky O. CD4(+) T-lymphocytopenia and systemic immune activation in patients with primary and secondary liver tumours. *Scandinavian Journal of Clinical & Laboratory Investigation*. 2001;61:363-70. DOI: 10.1080/003655101316911404
- [47] Wang L, Chang EW, Wong SC, Ong SM, Chong DQ, Ling KL. Increased myeloid-derived suppressor cells in gastric cancer correlate with cancer stage and plasma S100A8/A9 proinflammatory proteins. *J Immunol*. 2013;190:794-804. DOI:10.4049/jimmunol.1202088
- [48] Yamamoto T, Yanagimoto H, Sato S, Toyokawa H, Yamao J, Kim S, Terakawa N, Takahashi K, Kwon AH. Circulating myeloid dendritic cells as prognostic factors in patients with pancreatic cancer who have undergone surgical resection. *J Surg Res*. 2012;173:299-308. DOI:10.1016/j.jss.2010.09.027
- [49] MacFarlane AW, Jillab M, Plimack ER, Hudes GR, Uzzo RG, Litwin S, Dulaimi E, Al-Saleem T, Campbell KS. PD-1 Expression on Peripheral Blood Cells Increases with Stage in Renal Cell Carcinoma Patients and Is Rapidly Reduced after Surgical Tumor Resection. *Cancer Immunol Res*. 2014;2:320-31. DOI:10.1158/2326-6066.CIR-13-0133

- [50] Keir ME, Butte MJ, Freeman GJ, Sharpe AH. PD-1 and its ligands in tolerance and immunity. *Annu Rev Immunol.* 2008;26:677-704. DOI:10.1146/annurev.immunol.26.021607.090331
- [51] Elkord E, Alcantar-Orozco EM, Dovedi SJ, Tran DQ, Hawkins RE, Gilham DE. T regulatory cells in cancer: recent advances and therapeutic potential. *Expert Opin Biol Ther.* 2010;10:1573-86. DOI: 10.1517/14712598.2010.529126
- [52] Solito S, Marigo I, Pinton L, Damuzzo V, Mandruzato S, Bronte V. Myeloid-derived suppressor cell heterogeneity in human cancers. *Ann NY Acad Sci.* 2014;1319:47-65. DOI:10.1111/nyas.12469
- [53] Yokokawa J, Cereda V, Remondo C, Gulley JL, Arlen PM, Schlom J, Tsang KY. Enhanced functionality of CD4+CD25(high)FoxP3+ regulatory T cells in the peripheral blood of patients with prostate cancer. *Clin Cancer Res.* 2008;14:1032-40. DOI: 10.1158/1078-0432.CCR-07-2056
- [54] Strauss L, Bergmann C, Gooding W, Johnson JT, Whiteside TL. The frequency and suppressor function of CD4+CD25highFoxp3+ T cells in the circulation of patients with squamous cell carcinoma of the head and neck. *Clin Cancer Res.* 2007;13:6301-11. DOI: 10.1158/1078-0432.CCR-07-1403
- [55] Baine MJ, Chakraborty S, Smith LM, Mallya K, Sasson AR, Brand RE, Batra SK. Transcriptional profiling of peripheral blood mononuclear cells in pancreatic cancer patients identifies novel genes with potential diagnostic utility. *PLoS One.* 2011;6:e17014. Epub 2011/02/25. DOI:10.1371/journal.pone.0017014
- [56] Kiaii S, Clear AJ, Ramsay AG, Davies D, Sangaralingam A, Lee A, Calaminici M, Neuberg DS, Gribben JG. Follicular lymphoma cells induce changes in T-cell gene expression and function: potential impact on survival and risk of transformation. *J Clin Oncol.* 2013;31:2654-61. Epub 2013/06/19. DOI:10.1200/JCO.2012.44.2137
- [57] Herazo-Maya JD, Noth I, Duncan SR, Kim S, Ma SF, Tseng GC, Feingold E, Juan-Guardela BM, Richards TJ, Lussier Y, Huang Y, Vij R, Lindell KO, Xue J, Gibson KF, Shapiro SD, Garcia JG, Kaminski N. Peripheral blood mononuclear cell gene expression profiles predict poor outcome in idiopathic pulmonary fibrosis. *Sci Transl Med.* 2013;5:205ra136. Epub 2013/10/04. DOI:10.1126/scitranslmed.3005964
- [58] Sitras V, Fenton C, Acharya G. Gene expression profile in cardiovascular disease and preeclampsia: a meta-analysis of the transcriptome based on raw data from human studies deposited in Gene Expression Omnibus. *Placenta.* 2015;36:170-8. Epub 2015/01/04. DOI:10.1016/j.placenta.2014.11.017
- [59] Ma J, Lin Y, Zhan M, Mann DL, Stass SA, Jiang F. Differential miRNA expressions in peripheral blood mononuclear cells for diagnosis of lung cancer. *Lab Invest.* 2015;95:1197-206. Epub 2015/07/07. DOI:10.1038/labinvest.2015.88
- [60] Komatsu N, Matsueda S, Tashiro K, Ioji T, Shichijo S, Noguchi M, Yamada A, Doi A, Suekane S, Moriya F, Matsuoka K, Kuhara S, Itoh K, Sasada T. Gene expression profiles in peripheral blood as a biomarker in cancer patients receiving peptide vaccination. *Cancer.* 2012;118:3208-21. Epub 2011/11/11. DOI:10.1002/cncr.26636
- [61] Qian Y, Wei C, Eun-Hyung Lee F, Campbell J, Halliley J, Lee JA, Cai J, Kong YM, Sadat E, Thomson E, Dunn P, Seegmiller AC, Karandikar NJ, Tipton CM, Mosmann T, Sanz I, Scheuermann RH. Elucidation of seventeen human peripheral blood B-cell subsets and quantification of the tetanus response using a density-based method for the automated identification of cell populations in multidimensional flow cytometry data. *Cytometry B Clin Cytom.* 2010;78 Suppl 1:S69-82. Epub 2010/09/21. DOI:10.1002/cyto.b.20554
- [62] Cheung RK, Utz PJ. Screening: CyTOF-the next generation of cell detection. *Nat Rev Rheumatol.* 2011;7:502-3. Epub 2011/07/27. DOI: 10.1038/nrrheum.2011.110
- [63] Yao Y, Liu R, Shin MS, Trentalange M, Allore H, Nassar A, Kang I, Pober JS, Montgomery RR. CyTOF supports efficient detection of immune cell subsets from small samples. *J Immunol Methods.* 2014;415:1-5. Epub 2014/12/03. DOI:10.1016/j.jim.2014.10.010
- [64] Roselli M, Cereda V, di Bari MG, Formica V, Spila A, Jochems C, Farsaci B, Donahue R, Gulley JL, Schlom J, Guadagni F. Effects of conventional therapeutic interventions on the number and function of regulatory T cells. *Oncoimmunology.* 2013;2:e27025. DOI:10.4161/onci.27025
- [65] Santegoets SJ, Stam AG, Lougheed SM, Gall H, Jooss K, Sacks N, Hege K, Lowy I, Scheper RJ, Gerritsen WR, van den Eertwegh AJ, de Gruijl TD. Myeloid derived suppressor and dendritic cell subsets are related to clinical outcome in prostate cancer patients treated with prostate GVAX and ipilimumab. *J Immunother Cancer.* 2014;2:31. DOI:10.1186/s40425-014-0031-3